



PTO/SB/08A (08-03)

Substitute for form 1449A/PTO			Complete if Known		
INFORMATION DISCLOSURE STATEMENT BY APPLICANT (use as many sheets as necessary)			Application Number	10/650,171	
			Filing Date	August 27, 2003	
			First Named Inventor	Yakir, Rony	
			Art Unit	2171	
			Examiner Name	Unassigned	
Sheet	1	of	2	Attorney Docket Number	021154-000710US

U.S. PATENT DOCUMENTS+					
Examiner Initials*	Cite No. ¹	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number Kind Code ² (if known)			
Cm	AA	US-6,631,477	10-07-2003	LeCrone et al.	
	AB	US-6,584,497	06-24-2003	Case et al.	
	AC	US-6,366,988	04-02-2002	Skiba et al.	
	AD	US-6,330,572	12-22-2001	Sitka	
	AE	US-6,154,817	11-28-2000	Mohan et al.	
	AF	US-6,038,379	03-14-2000	Fletcher et al.	
	AG	US-6,035,373	03-07-2000	Iwata	
	AH	US-5,933,603	08-03-1999	Vahalia et al.	
	AI	US-5,798,766	08-25-1998	Hayashi et al.	
	AJ	US-5,778,395	07-07-1998	Whiting et al.	
	AK	US-5,689,681	11-18-1997	Petersen	
	AL	US-5,606,689	02-25-1997	Nakagawa	
	AM	US-5,506,986	04-09-1996	Healy	
	AN	US-5,485,606	01-16-1996	Midgley et al.	
	AO	US-5,202,982	04-13-1993	Gramlich et al.	
	AP	US-5,131,087	07-14-1992	Warr	


FOREIGN PATENT DOCUMENTS								
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		Country Code ³	Number ⁴	Kind Code ⁵ (if known)				
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Examiner Signature		Date Considered	3/2/06
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			Application Number	10/650,171	
			Filing Date	August 27, 2003	
			First Named Inventor	Yakir, Rony	
			Art Unit	2171	
			Examiner Name	Unassigned	
Sheet	2	of	2	Attorney Docket Number	021154-000710US

NON PATENT LITERATURE DOCUMENTS			
Examiner Initials *	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
CR	AQ	HSM For NetWare- White Paper: A Guide to Hierarchical Storage Management from http://support.ca.com/techbases/hsm/HSMWhitePaper.html visited on 01/10/2003.	
	AR	Microsoft Corporation, Microsoft Windows 2000 Server white paper, Enterprise Class Storage in Windows 2000, 1999, pgs. 11, 19, 20.	
	AS	The PC Guide, May 11, 2001, http://web.archive.org/web/20010511060256/http://www.pcguides.com/ref/hdd/file/ntfs/sec_Res.htm	
	AT	Powers, S. et al. (July 1999). "Complementing AS/400 Storage Management Using Hierarchical Storage Management APIs, A Practical Approach to AS/400 Storage Management," International Technical Support Organization; from http://www.redbooks.ibm.com/pubs/pdfs/redbooks/sg244450.pdf visited on 01/10/2003	
	AU	SafeCapacity™ User's Manual Version 2.14 (01/01/2002) Solution-Soft, Santa Clara, CA 48 pages total.	
	AV	SIYAN, K.S., "Windows 2000 Server Professional Reference, July 10, 2000, ISBN 0-7357-0952-1," Implementing File System Security Using File Permissions, chapter 9.	

Examiner Signature		Date Considered	3/2/06
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Substitute for form 1449A/PTO

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

(use as many sheets as necessary)

Sheet **1** of **3**

Complete If Known

Application Number	10/650,171
Filing Date	August 27, 2003
First Named Inventor	Yakir, Rony
Art Unit	2171
Examiner Name	Popovici, D.
Attorney Docket Number	021154-000710US

U.S. PATENT DOCUMENTS+



Examiner Initials ¹	Cite No. ¹	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number Kind Code ² (if known)			
	AA	US-6,519,637	02-11-2003	Arkeketa, et al.	
	AB	US-6,493,756	12-10-2002	O'Brien, et al.	
	AC	US-6,460,070	10-01-2002	Turek, et al.	
	AD	US-6,453,325	09-17-2002	Cabrera et al.	
	AE	US-6,449,731	09-10-2002	Frey, Jr.	
	AF	US-6,415,280	07-02-2002	Farber et al.	
	AG	US-6,404,925	06-11-2002	Footo et al.	
	AH	US-6,339,793	01-15-2002	Bostian et al.	
	AI	US-6,330,610	12-11-2001	Doctor et al.	
	AJ	US-6,023,709	02-08-2000	Anglin et al.	
	AK	US-5,991,753	11-23-1999	Wilde	
	AL	US-5,983,318	11-09-1999	Willson et al.	
	AM	US-5,873,103	02-16-1999	Trede et al.	
	AN	US-5,819,296	10-06-1998	Anderson et al.	
	AO	US-5,708,806	01-13-1998	DeRose et al.	
	AP	US-5,471,677	11-28-1995	Imanaka	
	AQ	US-5,367,698	11-22-1994	Webber et al.	
	AR	US-5,333,315	07-26-1994	Saether et al.	
	AS	US-5,276,867	01-04-1994	Kenley et al.	
	AT	US-5,063,523	11-05-1991	Milan J. Vrenjak	
	AU	US-5,053,948	10-01-1991	DeClute et al.	
	AV	US-2005/0033757	02-10-2005	Greenblatt et al.	
	AW	US-2005/0021566	01-27-2005	Mu	
	AX	US-2005/0015409	01-20-2005	Wen et al.	
	AY	US-2004/0163029	08-19-2004	Foley et al.	
	AZ	US-2004/0083202	04-29-2004	Mu et al.	
	BA	US-2004/0054656	03-18-2004	Leung et al.	
	BB	US-2004/0039891	02-26-2004	Leung et al.	
	BC	US-2003/0115204	06-19-2003	Greenblatt et al.	
	BD	US-2003/0046313	03-06-2003	Leung et al.	
	BE	US-2003/0046270	03-06-2003	Leung et al.	
	BF	US-2002/0174139	11-21-2002	Midgley et al.	
	BG	US-2002/0138251	09-26-2002	Geary	
	BH	US-2001/0037475	11-01-2001	Bradshaw et al.	
	BI	US-2001/0034795	10-25-2001	Moulton et al.	


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				Application Number	10/650,171
				Filing Date	August 27, 2003
				First Named Inventor	Yakir, Rony
				Art Unit	2171
Examiner Name	Popovici, D.				
Sheet	2	of	3	Attorney Docket Number	021154-000710US

FOREIGN PATENT DOCUMENTS								
Examiner Initials*	Cite No. ¹	Foreign Patent Document			Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶
		Country Code ³	Number ⁴	Kind Code ⁵ (if known)				
	BJ	WO	05/001646		01-06-2005			<input type="checkbox"/>
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	BM	WO	04/051481		06-17-2004			<input type="checkbox"/>
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		BT	WO	03/021441		03-13-2003		

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			Examiner Name	Popovici, D.	
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	BU	BHATTACHARYA et al., "Coordinating Backup/Recovery and Data Consistency Between Database and File Systems," <i>Procs. 2002 ACM SIGMOD Int. Conf. on Management of Data</i> , pgs. 500-511 (2002).	
	BV	WELCH et al., "A Resilient Application-Level Failure Detection System for Distributed Computing Environments," <i>Computers and Communications</i> (1995) IEEE Symposium on 27-29 Jun 1995-96.	

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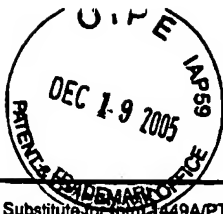
Substitute for PTO 1449B/PTO			Complete if Known		
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			Filing Date	August 27, 2003	
			First Named Inventor	Yakir, Rony	
			Art Unit	2165	
			Examiner Name	Popovici, D.	
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OA	AA	MOORE et al., "Policy Core Information Model Extensions," Internet Draft, DRAFT-IETF-POLICY-PCIM-EXT-06.TXT, 11/8/01, pages 1-85 downloaded from http://www.watersprings.org/pub/id/draft-ietf-policy-pcim-ext-06.txt on 2/2/05.	
	AB	STRASSNER et al., "Policy Framework Definition Language," Internet Draft, DRAFT-IETF-POLICY-FRAMEWORK-PFDL-00.TXT, 11/17/98, pages 1-18 downloaded from http://www.watersprings.org/pub/id/draft-ietf-policy-framework-pfdl-00.txt on 3/2/05.	
	AC	WATERS et al., "Policy Framework Architecture," Internet Draft, DRAFT-IETF-POLICY-ARCH-00.TXT, 2/26/99, pages 1-18 downloaded from http://www.watersprings.org/pub/id/draft-ietf-policy-arch-00.txt on 3/2/05.	
	AD	WOLFSON et al., "Managing Communication Networks by Monitoring Databases," <u>IEEE Trans. Software Engineering</u> , 17(9):944-953 (1991).	

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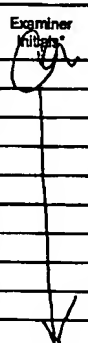
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		First Named Inventor	Yakir, Rony		
		Art Unit	2165		
		Examiner Name	Diane D. Mizrahi		
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	AA	US-6,941,560		09/06/2005	Lowry et al.	
	AB	US-6,915,338		07/05/2005	Hunt et al.	
	AC	US-6,839,766		01/04/2005	Parnafes et al.	
	AD	US-6,678,248		01/13/2004	Haddock et al.	
	AE	US-6,662,235		12/09/2003	Callis et al.	
	AF	US-6,654,864		11/25/2003	Shaath et al.	
	AG	US-6,466,952		10/15/2002	Hanes et al.	
	AH	US-6,438,642		08/20/2002	Shaath	
	AI	US-6,370,545		04/09/2002	Shaath	
	AJ	US-6,336,175		01/01/2002	Shaath et al.	
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AS	US-					

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		Art Unit	2165		
		Examiner Name	Diane D. Mizrahi		
Sheet	2	of	2	Attorney Docket Number	021154-000710US

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	BB	SUN (Sun Microsystems, Inc., "Solstice Backup™ 5.5, Administration Guide", Part No. 806-1135-10, September 1999, Revision A), pg. 1-2, 140-141.	
	BC		
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